

# $G$ -valued pseudocharacters

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## Representations of finite groups over $\mathbb{C}$

### Theorem

Let  $\Gamma$  be a finite group and let  $\rho_1 : \Gamma \rightarrow \mathrm{GL}_{n_1}(\mathbb{C})$ ,  $\rho_2 : \Gamma \rightarrow \mathrm{GL}_{n_2}(\mathbb{C})$  ( $n_1, n_2 \in \mathbb{N}_0$ ) be representations of  $\Gamma$ . Then

$$\forall \gamma \in \Gamma : \mathrm{tr} \rho_1(\gamma) = \mathrm{tr} \rho_2(\gamma) \quad \implies \quad \rho_1 \cong \rho_2$$

The map

$$\mathrm{Rep}(\Gamma) \rightarrow \mathbb{C}[\Gamma]^\Gamma, \quad \rho \mapsto \mathrm{tr} \rho$$

where  $\mathrm{Rep}(\Gamma)$  is the set of conjugacy classes of representations is injective.

What is the image?

Every representation has a decomposition into irreducible representations, which is unique up to isomorphism.

$$\mathrm{tr} : \mathbb{N}_0[\mathrm{IrrRep}(\Gamma)] = \mathrm{Rep}(\Gamma)$$

$\mathrm{tr}(\mathrm{IrrRep}(\Gamma))$  is an orthonormal basis of  $\mathbb{C}[\Gamma]^\Gamma$  with respect to the bilinear form

$$\langle \chi_1, \chi_2 \rangle := \frac{1}{|\Gamma|} \sum_{\gamma \in \Gamma} \chi_1(\gamma^{-1}) \chi_2(\gamma)$$

## Representations of finite groups over $\mathbb{C}$

We would like to recover  $\rho$  from its character  $\chi := \text{tr } \rho$ .

If  $\{\rho_1, \dots, \rho_r\}$  are representatives of the irreducible representations of  $\Gamma$ , then

$$\chi = \sum_{i=1}^r \langle \chi, \text{tr } \rho_i \rangle \text{tr } \rho_i$$

for all  $\chi \in \mathbb{C}[\Gamma]^\Gamma$ .

In particular

$$V = \bigoplus_{i=1}^r \rho_i^{\oplus \langle \chi, \text{tr } \rho_i \rangle}$$

for any representation  $\rho$  with  $\chi = \text{tr } \rho$ .

In particular  $\chi \in \mathbb{C}[\Gamma]^\Gamma$  is in the image of  $\text{tr} : \text{Rep}(\Gamma) \rightarrow \mathbb{C}[\Gamma]^\Gamma$  if and only if  $\langle \chi, \text{tr } \rho_i \rangle \in \mathbb{N}_0$  for all  $i$ .

This is not a satisfactory answer, as we don't know the  $\rho_i$  a priori.

## Representations of finite groups over $\mathbb{C}$

Is there a way to axiomatize characters of representations?

Suppose  $\chi = \text{tr } \rho$ . We collect basic properties of  $\chi$ .

- ▶  $\chi(1) = \dim \rho =: n$ .
- ▶  $\chi(\gamma\gamma') = \chi(\gamma'\gamma)$  for all  $\gamma, \gamma' \in \Gamma$ .
- ▶

$$\sum_{\sigma \in S_{n+1}} \text{sign}(\sigma) \chi_{\sigma}(\gamma_1, \dots, \gamma_{n+1}) = 0$$

for all  $\gamma_1, \dots, \gamma_{n+1} \in \Gamma$ . Here

$$\chi_{\sigma}(\gamma_1, \dots, \gamma_{n+1}) := \prod_{c \text{ cycle of } \sigma} \chi_c(\gamma_1, \dots, \gamma_{n+1})$$

and for  $c = (a_1 \dots a_l)$ , we put

$$\chi_c(\gamma_1, \dots, \gamma_{n+1}) := \chi(\gamma_{a_1} \dots \gamma_{a_l})$$

This is a relation, that holds for any  $(n+1)$ -tuple of  $n \times n$  matrices. Example for  $n = 2$ :

$$\chi(abc) + \chi(acb) - \chi(ab)\chi(c) - \chi(ac)\chi(b) - \chi(bc)\chi(a) + \chi(a)\chi(b)\chi(c) = 0$$

## Pseudocharacters for $GL_n(\mathbb{C})$

### Definition (Taylor, 1991)

A  $d$ -dimensional *pseudocharacter* (for  $GL_n(\mathbb{C})$ ) is a map  $T : \Gamma \rightarrow \mathbb{C}$ , which satisfies the equations listed on the previous slide. [Tay, §1.1]

Any nontrivial examples?

Are there pseudocharacters, which do not come from representations?

The answer for finite groups over  $\mathbb{C}$  is: No!

### Theorem (Taylor, 1991)

*If  $K$  is an algebraically closed field of characteristic 0 and  $T : \Gamma \rightarrow K$  is a pseudocharacter, then there is a semisimple representation  $\rho$  of  $\Gamma$  with  $T = \text{tr } \rho$  and  $\rho$  is unique up to isomorphism. [Tay, Thm. 1]*

What if  $K$  has positive characteristic?

We have to take  $d \geq 2$  to find counterexamples, since for  $d = 1$  the pseudocharacter relation

$$T(a)T(b) - T(ab) = 0$$

implies, that  $T$  is a homomorphism and therefore we just have  $T = \text{tr } T$ .

## A counterexample

### Example

In characteristic 2 and dimension 2, uniqueness of the representation fails:

$$\rho : C_3 \rightarrow \mathrm{GL}_2(\overline{\mathbb{F}}_2)$$

with

$$\rho(\gamma) = \begin{pmatrix} \omega & 0 \\ 0 & \omega \end{pmatrix}$$

where  $\omega^2 + \omega + 1 = 0$  is semisimple and has the same pseudocharacter as the trivial representation, which is 0.

This ambiguity can be resolved by also keeping the determinant.

If we keep pairs  $(\mathrm{tr}, \det)$ , then what relations do we need?

## Motivation for Lafforgue's definition

In general, we want to keep track of all coefficients of the characteristic polynomial of the matrices  $\rho(\gamma)$  for all  $\gamma \in \Gamma$ .

Fact from classical invariant theory:  $K[\mathrm{GL}_n]^{\mathrm{GL}_n}$  is generated by  $s_1, \dots, s_n$ , where

$$\det(X - t) = \sum_{i=0}^n (-1)^i s_i(X) t^{n-i}$$

Any representation  $\rho : \Gamma \rightarrow \mathrm{GL}_n(K)$  defines a homomorphism

$$\Xi_\rho : K[\mathrm{GL}_n]^{\mathrm{GL}_n} \rightarrow K[\Gamma]^\Gamma, \quad f \mapsto (\gamma \mapsto f(\rho(\gamma)))$$

$\Xi_\rho(\mathrm{tr})$  is Taylor's pseudocharacter of  $\rho$  and  $\Xi_\rho(\det)$  is  $\det(\rho)$ .

If a general  $\Xi : K[\mathrm{GL}_n]^{\mathrm{GL}_n} \rightarrow K[\Gamma]^\Gamma$  is given, can we recover a representation?

No: By Chevalley's restriction theorem  $K[\mathrm{GL}_n]^{\mathrm{GL}_n} = K[T]^W$  is a polynomial ring in elementary symmetric functions.

Such a  $\Xi$  may assign any class function to each  $s_i$ . This is not what we want.



## Motivation for Lafforgue's definition

The pseudocharacter relation in Taylor's definition depends on  $n + 1$  elements  $\gamma_1, \dots, \gamma_{n+1}$  of  $\Gamma$ .

$$\sum_{\sigma \in S_{n+1}} \text{sign}(\sigma) T_{\sigma}(\gamma_1, \dots, \gamma_{n+1}) = 0$$

Here  $T_{\sigma}$  can be seen as the image of an invariant function  $\text{tr}_{\sigma} \in K[\text{GL}_n^{n+1}]^{\text{GL}_n}$ .

Example: If  $\sigma = (12)(3) \in S_3$ , then  $\text{tr}_{\sigma} = \text{tr}(AB) \text{tr}(C)$ , where  $(A, B, C)$  are the generic matrices in  $\text{GL}_2^3$ .

The function  $\text{tr}_{\sigma}$  can be generated from  $\text{tr}$  by substitutions and multiplication of group elements.

The pseudocharacter relation becomes the relation

$$\sum_{\sigma \in S_{n+1}} \text{sign}(\sigma) \text{tr}_{\sigma} = 0$$

in  $K[\text{GL}_n^{n+1}]^{\text{GL}_n}$ .

## Definition of $G$ -pseudocharacters

### Definition ( $G$ -pseudocharacter)

Let  $\Gamma$  be an abstract group, let  $G$  be a connected reductive group over  $\mathcal{O}$  and let  $A$  be a commutative  $\mathcal{O}$ -algebra. A  $G$ -pseudocharacter  $\Theta$  of  $\Gamma$  over  $A$  is a sequence of  $\mathcal{O}$ -algebra maps

$$\Theta_n : \mathcal{O}[G^n]^G \rightarrow \text{Map}(\Gamma^n, A)$$

for each  $n \geq 1$ , satisfying the following conditions<sup>1</sup>:

1. For all  $n, m \geq 1$ , each map  $\zeta : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$ , every  $f \in \mathcal{O}[G^m]^G$  and all  $\gamma_1, \dots, \gamma_n \in \Gamma$ , we have

$$\Theta_n(f^\zeta)(\gamma_1, \dots, \gamma_n) = \Theta_m(f)(\gamma_{\zeta(1)}, \dots, \gamma_{\zeta(m)})$$

where  $f^\zeta(g_1, \dots, g_n) = f(g_{\zeta(1)}, \dots, g_{\zeta(m)})$ .

2. For all  $m \geq 1$ , for all  $\gamma_1, \dots, \gamma_{m+1} \in \Gamma$  and every  $f \in \mathcal{O}[G^m]^G$ , we have

$$\Theta_{m+1}(\hat{f})(\gamma_1, \dots, \gamma_{m+1}) = \Theta_m(f)(\gamma_1, \dots, \gamma_m \gamma_{m+1})$$

where  $\hat{f}(g_1, \dots, g_{m+1}) = f(g_1, \dots, g_m g_{m+1})$ . [Laf, Def.-Prop. 11.3]

<sup>1</sup>Here  $G$  acts on  $G^n$  by  $g \cdot (g_1, \dots, g_n) = (gg_1g^{-1}, \dots, gg_ng^{-1})$ . This induces a rational action of  $G$  on the affine coordinate ring  $\mathcal{O}[G^n]$  of  $G^n$ . The submodule  $\mathcal{O}[G^n]^G \subseteq \mathcal{O}[G^n]$  is defined as the rational invariant module of the  $G$ -representation  $\mathcal{O}[G^n]$ . It is an  $\mathcal{O}$ -subalgebra, since  $G$  acts by  $\mathcal{O}$ -linear automorphisms.

## $G$ -pseudocharacters

### Theorem (V. Lafforgue, 2012)

Let  $\Gamma$  be a group, let  $K$  be an algebraically closed field, let  $G$  be a connected reductive group over  $K$  and let  $\Theta$  be a pseudocharacter of  $\Gamma$  with values in  $G$  and coefficient ring  $K$ . Then there is a  $G$ -semisimple representation  $\rho : \Gamma \rightarrow G(K)$ , which is unique up to  $G(K)$ -conjugacy, such that  $\Theta(\rho) = \Theta$ . [Laf, Prop. 11.7]

### Theorem (C. Procesi, 1976)

If  $G = \mathrm{GL}_n$  and  $K$  has characteristic 0, then  $\Theta \mapsto \Theta_1(\mathrm{tr})$  induces a bijection between Lafforgue's  $\mathrm{GL}_n$ -pseudocharacters and Taylor's pseudocharacters.

This follows from [Pro, Thm. 1.3] unravelling the definitions.

### Theorem

The functor  $\mathrm{PC}_G^\Gamma : \mathcal{O}\text{-Alg} \rightarrow \mathrm{Set}$ , which maps  $A$  to the set of  $A$ -valued  $G$ -pseudocharacters of  $\Gamma$  is representable by an affine  $\mathcal{O}$ -scheme.

### Theorem

If  $\Gamma$  is finitely generated, then  $\mathrm{PC}_G^\Gamma$  is of finite type over  $\mathcal{O}$ .

## An alternative: Determinant laws

G. Chenevier introduced a notion of 'determinant law' [Che] which leads to a notion of pseudocharacter for  $\mathrm{GL}_n$  over arbitrary base rings with similar properties.

### Theorem (K. Emerson, 2018)

*Chenevier's notion of determinant law is equivalent to Lafforgue's notion of  $\mathrm{GL}_n$ -pseudocharacter (over any base ring). [Eme]*

The proof uses deep results on invariant theory of matrices, i.e. generators and relations of the algebras  $\mathbb{Z}[\mathrm{GL}_n^m]^{\mathrm{GL}_n}$ .

Generators of  $\mathbb{Z}[G^m]^G$  are known for some classical groups, relations are mostly lacking. There is some work of Lopatin on generators for orthogonal groups.

## Moduli of representations

$G$ -pseudocharacters are a means to study moduli of representations up to conjugacy.

The functor

$$\mathcal{O}\text{-Alg} \rightarrow \text{Set}, \quad A \mapsto \text{Hom}(\Gamma, G(A))/G(A)$$

is in general not representable.

We can replace it by the GIT quotient  $\text{Hom}(\Gamma, G) // G$  and there will be a comparison map

$$\nu : \text{Hom}(\Gamma, G) // G \rightarrow \text{PC}_G^\Gamma$$

### Theorem (C. Wang-Erickson, 2015)

For  $G = \text{GL}_n$ ,  $\nu$  is an adequate homeomorphism<sup>2</sup>. [WE, Thm. 2.20]

### Theorem (Moakher, Q., 2022)

For symplectic determinant laws,  $\nu$  is an integral universal homeomorphism. [this is an active project]

<sup>2</sup>integral universal homeomorphism + local isomorphism in characteristic 0

## Deformations of Galois representations

Let  $\Gamma$  be a profinite group.

Given a continuous representation  $\bar{\rho} : \Gamma \rightarrow G(W(\mathbb{F}))$  over a finite field  $\mathbb{F}$ , we can ask for lifts

$$\begin{array}{ccc} & & G(A) \\ & \nearrow \rho & \downarrow \\ \Gamma & \xrightarrow{\bar{\rho}} & G(\mathbb{F}) \end{array}$$

where  $A$  is a local artinian  $W(\mathbb{F})$ -algebra with residue field  $\mathbb{F}$ .

The *deformation functor*  $A \mapsto \text{Lift}_{\bar{\rho}}(A)$  will always be representable by a complete noetherian local  $W(\mathbb{F})$ -algebra.

We can use *deformation spaces* to study representations  $\Gamma \rightarrow G(\overline{\mathbb{Q}}_p)$  in families.

## Deformations of $G$ -pseudocharacters

We say a  $G$ -pseudocharacter  $\Theta = (\Theta_m)_{m \geq 1}$  is *continuous*, if for all  $m \geq 1$  the map  $\Theta_m : \mathcal{O}[G^m]^G \rightarrow \text{Map}(\Gamma^m, A)$  has image in the set of continuous maps  $\mathcal{C}(\Gamma^m, A)$ .

We write  $\text{cPC}_G^\Gamma(A)$  for the set of continuous  $G$ -pseudocharacters over  $A$ .

A lift of a continuous  $G$ -pseudocharacter  $\bar{\Theta} \in \text{cPC}_G^\Gamma(\mathbb{F})$  is a continuous  $G$ -pseudocharacter  $\Theta$  over an artinian local  $W(\mathbb{F})$ -algebra  $A$  with  $\Theta \otimes_{W(\mathbb{F})} \mathbb{F} = \bar{\Theta}$ .

The pseudodeformation functor is representable by a complete local  $W(\mathbb{F})$ -algebra  $R_\Theta^{\text{ps}}$ .

### Theorem

If  $\Gamma$  satisfies Mazur's condition  $\Phi_p$  and  $G$  is either  $\text{GL}_n$ ,  $\text{SL}_n$ ,  $\text{Sp}_{2n}$ ,  $\text{GSp}_{2n}$ ,  $\text{O}_n$  or  $\text{GO}_n$ , then  $R_\Theta^{\text{ps}}$  is noetherian.

There is a map  $\text{Spec}(R_\rho^\square) \rightarrow \text{Spec}(R_\Theta^{\text{ps}})$  and a study of the fibers provides insight about the structure of the framed deformation space.

## Further results

Now  $\Gamma = \Gamma_F$  is the absolute Galois group of a  $p$ -adic local field.

### Theorem (Böckle, Juschka, 2019)

For  $G = \mathrm{GL}_n$ , the special fiber of the pseudodeformation space  $\mathrm{Spec}(R_{\Theta}^{\mathrm{ps}})$  is equidimensional of dimension  $[F : \mathbb{Q}_p]n^2 + 1$ . [BJ, Thm. 1]

### Theorem (Böckle, Iyengar, Paškūnas, 2021)

1. For  $G = \mathrm{GL}_n$ ,  $R_{\rho}^{\square}$  is a local complete intersection, flat over  $\mathcal{O}$  and of relative dimension  $d^2 + d^2[F : \mathbb{Q}_p]$ . [BIP, Thm. 1.1] In particular every continuous representation  $\bar{\rho} : \Gamma_F \rightarrow \mathrm{GL}_n(k)$  has a lift to characteristic 0.
2. Suppose  $p \nmid 2d$ . Then crystalline lifts with regular Hodge-Tate weights are Zariski-dense in  $\mathrm{Spec}(R_{\rho}^{\square}[1/p])$ . [BIP, Thm. 1.5]

The Zariski-density of crystalline points played a role in the proof of the  $p$ -adic local Langlands correspondence for  $\mathrm{GL}_2(\mathbb{Q}_p)$ . [CDP]

We expect, that these results will be important for future developments in this direction.



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